

DONALD W. SEARLES (Cal. Bar. No. 135705)
searlesd@sec.gov
U.S. SECURITIES AND EXCHANGE COMMISSION
444 S. Flower Street, Suite 900
Los Angeles, CA 90071
Telephone: (323) 965-4573
Facsimile: (213) 443-1904

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DAVID T. FRISOF (*pro hac vice pending*)
frisofd@sec.gov
U.S. SECURITIES AND EXCHANGE COMMISSION
100 F Street NE
Washington, DC 20549-5010
Telephone: (202) 551-7702
Facsimile: (202) 772-9286

Attorneys for Plaintiff
U.S. Securities and Exchange Commission

UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
WESTERN DIVISION

U.S. SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

vs.

SLOBODAN DRAGOJLOVIC,

Defendant.

Case No. 2:18-cv-09456

~~PROPOSED~~ FINAL JUDGMENT

The Securities and Exchange Commission having filed a Complaint and Defendant Slobodan Dragojlovic having entered a general appearance; consented to the Court's jurisdiction over Defendant and the subject matter of this action; consented to entry of this Final Judgment without admitting or denying the allegations of the Complaint (except as to jurisdiction and except as otherwise provided herein in paragraph IV); waived findings of fact and conclusions of law; and waived any right to appeal from this Final Judgment:

I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant is permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the “Exchange Act”) [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who receive actual notice of this Final Judgment by personal service or otherwise: (a) Defendant’s officers, agents, servants, employees, and attorneys; and (b) other persons in active concert or participation with Defendant or with anyone described in (a).

II.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is permanently restrained and enjoined from violating Section 14(e) of the Exchange Act [15 U.S.C. § 78n(e)] and Rule 14e-3 [17 C.F.R. § 240.14e-3] promulgated thereunder, in connection with any tender offer or request or invitation for tenders, from engaging in any fraudulent, deceptive, or manipulative act or practice, by:

1 (a) purchasing or selling or causing to be purchased or sold the securities
2 sought or to be sought in such tender offer, securities convertible into or
3 exchangeable for any such securities or any option or right to obtain or
4 dispose of any of the foregoing securities while in possession of material
5 information relating to such tender offer that Defendant knows or has
6 reason to know is nonpublic and knows or has reason to know has been
7 acquired directly or indirectly from the offering person; the issuer of the
8 securities sought or to be sought by such tender offer; or any officer,
9 director, partner, employee or other person acting on behalf of the offering
10 person or such issuer, unless within a reasonable time prior to any such
11 purchase or sale such information and its source are publicly disclosed by
12 press release or otherwise; or
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14 (b) communicating material, nonpublic information relating to a tender offer,
15 which Defendant knows or has reason to know is nonpublic and knows or
16 has reason to know has been acquired directly or indirectly from the
17 offering person; the issuer of the securities sought or to be sought by such
18 tender offer; or any officer, director, partner, employee, advisor, or other
19 person acting on behalf of the offering person of such issuer, to any person
20 under circumstances in which it is reasonably foreseeable that such
21 communication is likely to result in the purchase or sale of securities in the
22 manner described in subparagraph (a) above, except that this paragraph
23 shall not apply to a communication made in good faith
24

25 (i) to the officers, directors, partners or employees of the
26 offering person, to its advisors or to other persons, involved
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1 in the planning, financing, preparation or execution of such
2 tender offer;

- 3 (ii) to the issuer whose securities are sought or to be sought by
4 such tender offer, to its officers, directors, partners,
5 employees or advisors or to other persons involved in the
6 planning, financing, preparation or execution of the
7 activities of the issuer with respect to such tender offer; or
8 (iii) to any person pursuant to a requirement of any statute or
9 rule or regulation promulgated thereunder.
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11 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in
12 Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who
13 receive actual notice of this Final Judgment by personal service or otherwise: (a) Defendant's
14 officers, agents, servants, employees, and attorneys; and (b) other persons in active concert or
15 participation with Defendant or with anyone described in (a).
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17 **III.**

18 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is liable for
19 disgorgement of \$20,101, representing profits gained as a result of the conduct alleged in the
20 Complaint, together with prejudgment interest thereon in the amount of \$1,038, and a civil
21 penalty in the amount of \$20,101 pursuant to Section 21A of the Exchange Act [15 U.S.C.
22 § 78u-1]. Defendant shall satisfy this obligation by paying \$41,240 to the Securities and
23 Exchange Commission within 14 days after entry of this Final Judgment.
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25 Defendant may transmit payment electronically to the Commission, which will provide
26 detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly
27 from a bank account via Pay.gov through the SEC website at
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<http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange Commission, which shall be delivered or mailed to

Enterprise Services Center
Accounts Receivable Branch
6500 South MacArthur Boulevard
Oklahoma City, OK 73169

and shall be accompanied by a letter identifying the case title, civil action number, and name of this Court; Slobodan Dragojlovic as a defendant in this action; and specifying that payment is made pursuant to this Final Judgment.

Defendant shall simultaneously transmit photocopies of evidence of payment and case identifying information to the Commission's counsel in this action. By making this payment, Defendant relinquishes all legal and equitable right, title, and interest in such funds and no part of the funds shall be returned to Defendant. The Commission shall send the funds paid pursuant to this Final Judgment to the United States Treasury.

The Commission may enforce the Court's judgment for disgorgement and prejudgment interest by moving for civil contempt (and/or through other collection procedures authorized by law) at any time after 14 days following entry of this Final Judgment. Defendant shall pay post judgment interest on any delinquent amounts pursuant to 28 U.S.C. § 1961.

IV.

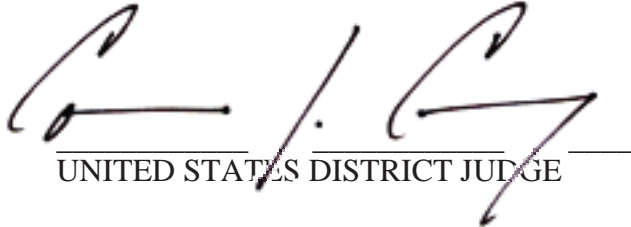
IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, solely for purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. §523, the allegations in the complaint are true and admitted by Defendant, and further, any debt for disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under this Final Judgment or any other judgment, order, consent order, decree or settlement agreement entered in connection with this proceeding, is a debt for the violation by Defendant of the federal

1 securities laws or any regulation or order issued under such laws, as set forth in Section
2 523(a)(19) of the Bankruptcy Code, 11 U.S.C. §523(a)(19).

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4 **V.**

5 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain
6 jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

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8 Dated: 12/12/2018, _____

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11 UNITED STATES DISTRICT JUDGE
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